

Marginal stability of d-wave superconductor: spontaneous P and T violation in the presence of magnetic impurities *

A.V. Balatsky ¹ and R. Movshovich ²

T-Div and MST-Div, Los Alamos National Laboratory, Los Alamos, New Mexico 87545, USA
(February 1, 2008)

We argue that the $d_{x^2-y^2}$ -wave superconductor is marginally stable in the presence of external perturbations. Subjected to the external perturbations by magnetic impurities, it develops a secondary component of the gap, *complex* d_{xy} , to maximize the coupling to impurities and lower the total energy. The secondary d_{xy} component exists at high temperatures and produces the full gap $\sim 20K$ in the single particle spectrum around each impurity, apart from impurity induced broadening. At low temperatures the phase ordering transition into global $d_{x^2-y^2} + id_{xy}$ state occurs.

PACS numbers: 74.62.Dh, 71.55.-i

The point of this note is to emphasize the recently recognized new aspect of the high temperature superconductors: *a marginal stability of the $d_{x^2-y^2}$ -wave superconductor towards secondary ordering in the presence of the symmetry perturbing field*. Namely: in the presence of the perturbing field the $d_{x^2-y^2}$ -wave superconductor generates the secondary superconducting component of the order parameter, likely to be id_{xy} in our case, to maximize the coupling to this field and hence to lower the total energy.

This instability can occur in many different ways. Recently the surface-scattering induced s-wave component in high- T_c materials has been observed [1] and the model explaining the effect was proposed [2]. The existence of the secondary gap in the external magnetic field was suggested to explain the anomalies in thermal transport in Bi2212 [3,4]. In both of these cases the superconductor was subjected to the perturbing fields: the surface scattering or the external magnetic field. The above examples can be thought of as a specific realizations of the general phenomena of marginal stability of $d_{x^2-y^2}$ -wave superconductor.

Specifically we investigated the role of magnetic and nonmagnetic impurities on the $d_{x^2-y^2}$ -wave superconductors. We find that in the vicinity of each magnetic impurity, in the presence of the spin-orbit coupling, there is a patch of local complex id_{xy} gap generated from impurity scattering. This is the first example, to the best of our knowledge, when impurity scattering produces the coherent component, i.e. secondary id_{xy} gap, as shown in Fig.1. We suggest that the secondary phase transition $d_{x^2-y^2} \rightarrow d_{x^2-y^2} + id_{xy}$ occurs spontaneously at lower temperatures with simultaneous impurity spin ordering. Below we present the summary of the results using mostly qualitative description. For more technical

approach reader is advised to look at the original papers [5,6].

a) *Single magnetic impurity*. The essence of the argument is to consider the single magnetic impurity with large spin S in the $d_{x^2-y^2}$ -wave superconductor. Locally the time reversal (T) and parity (P) symmetries are violated as the direction of the spin is fixed. Consequently, in the presence of the symmetry perturbing field it is favorable for superconducting state to generate the secondary component, i.e. the id_{xy} , so that the superconducting condensate couples to the impurity spin and lowers the total energy.

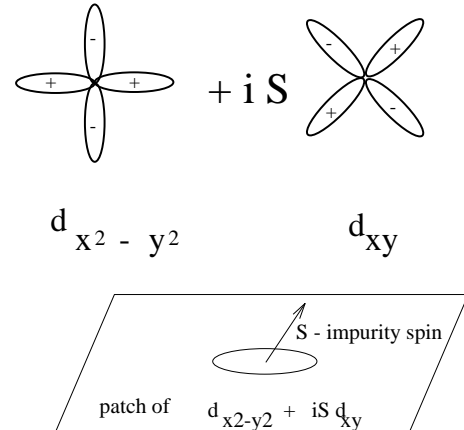


FIG. 1. The P and T violating condensate in the presence of magnetic impurity is shown. The phase of the induced d_{xy} component is determined by the S_z , the impurity spin. At high temperatures the phase of induced component is disordered due to spin flips. At low temperatures the Josephson tunneling locks the phase between patches, leading to the global $d_{x^2-y^2} + id_{xy}$ state.

Consider scattering of a $d_{x^2-y^2}$ pair off the single impurity site. Interaction Hamiltonian is $H_{int} = gL_z S_z$, $L_z = i\hbar\partial_\theta$ is the angular momentum operator, θ is the angle on the cylindrical 2D Fermi surface, S_z is the out of plane component of the impurity spin and g is the spin orbit coupling constant. There is a finite scattering amplitude $\langle x^2 - y^2 | H_{int} | xy \rangle$ in the vicinity of impurity:

*Work done in collaboration with M.A. Hubbard (UIUC), M.B. Salamon (UIUC), R. Yoshizaki (Univ. of Tsukuba), J. Sarrao (LANL) and M. Jaime (LANL)

$$\langle \Delta_0 \cos 2\theta | igS^z \hbar \partial_\theta | \Delta_1 \sin 2\theta \rangle \sim iS^z \Delta_0^* \Delta_1 \quad (1)$$

where $x^2 - y^2 \sim \cos 2\theta$ and $xy \sim \sin 2\theta$ order parameter amplitudes are Δ_0 and Δ_1 respectively. This scattering amplitude $\langle x^2 - y^2 | H_{int} | xy \rangle$ does imply the existence of the finite $d_{x^2-y^2} + id_{xy}$ gap near each Ni site. The global second phase grows out of these patches at lower temperatures.

The precursors of the ordered phase, i.e. a *finite* quasiparticle gap near each impurity site should be seen even at temperatures above the second transition into $d_{x^2-y^2} + id_{xy}$ state.

In the presence of the single impurity scattering potential: $F_{\omega_n}(\mathbf{k}, \mathbf{k}') = F_{\omega_n}^0(\mathbf{k})\delta(\mathbf{k} - \mathbf{k}') + F_{\omega_n}^1(\mathbf{k}, \mathbf{k}')$, where $F^0 = \frac{\Delta_0 \cos 2\theta}{\omega_n^2 + \xi_{\mathbf{k}} + \Delta_0^2 \cos^2 2\theta}$, $G^0 = -\frac{i\omega_n + \xi_{\mathbf{k}}}{\omega_n^2 + \xi_{\mathbf{k}} + \Delta_0^2 \cos^2 2\theta}$ are the pure system propagators, $F_{\omega_n}^1(\mathbf{k}, \mathbf{k}')$ is the correction due to impurity scattering, $\mathbf{k} = (k, \theta)$ are the magnitude and angle of the momentum \mathbf{k} on the cylindrical Fermi surface, ω_n is Matsubara frequency and $\xi_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu$ is the quasiparticle energy, counted from the Fermi surface. To linear order in small gN_0 (N_0 is the density of states at the Fermi surface), one finds [5] :

$$F_{\omega_n}^1(\mathbf{k}, \mathbf{k}') = -i2\pi g S_z G_{\omega_n}^0(\mathbf{k}) F_{\omega_n}^0(\mathbf{k}') \frac{[\mathbf{k} \times \mathbf{k}']_z}{|\mathbf{k} - \mathbf{k}'|} \quad (2)$$

Where $F_{\omega_n}^1(\mathbf{k}, \mathbf{k}')$ is the function of incoming and outgoing momenta because of broken translational symmetry. The first nontrivial correction to the homogeneous solution, after integration over \mathbf{k}' and $\xi_{\mathbf{k}}$, is the xy component:

$$F_{\omega_n}^1(\theta) = \int N_0 d\xi_{\mathbf{k}} F_{\omega_n}^1(\mathbf{k}) \propto i(N_0 g S_z)(N_0 \Delta_0) \sin 2\theta \quad (3)$$

The finite induced xy component of the order parameter also leads to the xy gap:

$$\Delta_1(\mathbf{k}, \mathbf{k}'') = T \sum_{n, \mathbf{k}'} V_{xy}(\mathbf{k}, \mathbf{k}') F_{\omega_n}^1(\mathbf{k}', \mathbf{k}'') \\ \Delta_1 \propto 2\pi g (N_0 \Delta_0)(N_0 V_{xy}) \sim 20K \quad (4)$$

Where $V_{xy}(\mathbf{k}, \mathbf{k}')N_0$ is the arbitrary sign interaction in the xy channel, assumed to be of $V_{xy}N_0 \sim 0.1$ strength.

The finite minimal gap on the Fermi surface near impurity site is determined by: $Gap = \sqrt{|\Delta_0(\theta)|^2 + |\Delta_1(\theta)|^2} \sim 20K$. Experimental prediction following from this picture is that the pseudogapped particle spectrum with minimal gap on the order of 20 K should be seen in scanning tunneling microscope experiments near each impurity site.

The usual impurity induced broadening of the states will be present as well. At low concentrations the broadening, being function of impurity concentration will be small compared to the induced d_{xy} gap. See also Fig.3.

b) Finite impurity concentration.

Recently Movshovich et.al. [6] measured thermal transport in high temperature superconductor with magnetic

impurity (Bi2212 with Ni). The surprising outcome of these experiments is the observed sharp reduction in thermal conductivity at 0.2K in the samples with 1–2% Ni impurity concentration (see Fig. 2). The observed feature in thermal conductivity is consistent with the second superconducting transition into a $d_{x^2-y^2} + id_{xy}$ state as described. The secondary phase in many respects resembles the superfluid 3He : this is a chiral state that violates P and T. The superconducting condensate has a nonzero orbital moment L .

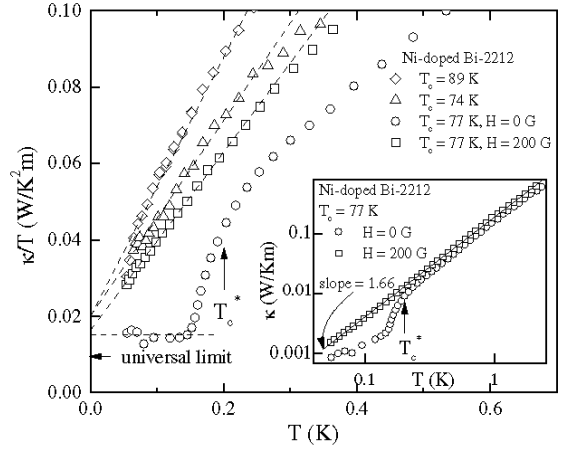


FIG. 2. The thermal conductivity of the Ni-doped Bi2212 is shown. The sharp reduction of thermal conductivity occurs at $T_C^* = 0.2K$. The inset shows the effect of the applied magnetic field that suppresses the feature. The data are consistent with the secondary superconducting phase, such as $d_{x^2-y^2} + id_{xy}$, developing at T_C^* and with the full gap opening up. No effect has been observed in the nonmagnetic impurity (Zn) doped samples available to us.

The free energy admits the linear coupling between the $d_{x^2-y^2}$ and d_{xy} channels, see Eq.(1):

$$F_{int} = i\Delta_0 \Delta_1^* S_z + h.c. \quad (5)$$

which can be thought of as a spin-assisted Josephson coupling between orthogonal $x^2 - y^2$ and xy channels. Since all other relevant terms are quadratic and higher powers in Δ_1 and S_z , this linear coupling is driving the transition into $d_{x^2-y^2} + id_{xy}$ state.

Impurities, in addition to the d_{xy} component produce the finite lifetime for quasiparticles. Standard arguments of Abrikosov-Gorkov theory imply that the transition temperature into $d_{x^2-y^2}$ is suppressed. Moreover, the same impurity scattering will suppress the secondary transition into $d_{x^2-y^2} + id_{xy}$ state. We expect there is a *finite* impurity concentration window where induced phase can exist, see Fig.3.

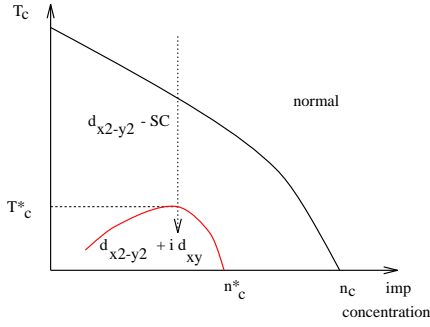


FIG. 3. The suggested phase diagram for the normal, $d_{x^2-y^2}$ and $d_{x^2-y^2} + id_{xy}$ phases as a function of impurity concentration is shown. At low impurity concentration the patches of $d_{x^2-y^2} + id_{xy}$ presumably can order, although at very low temperatures. The low impurity concentration cut off will be determined by quasiparticle scattering. The termination point at n_c^* , when the impurity scattering will suppress T_c^* to zero, is expected. For $n \leq n_c^*$ the sequence of the transitions upon temperature lowering is shown by the dotted line. Note that the temperatures T_c and T_c^* are drawn out of scale.

The idea of marginal stability of high temperature superconductors and of the secondary superconducting phase might have a broader application for other unconventional superconductors such as heavy fermion compounds. It implies that the superconducting phase diagram in many of these compounds might be richer than we previously thought.

Observation of such a state would represent a significant new development in the field of high temperature superconductivity.

The useful discussions with E. Abrahams, L. Greene, R. Laughlin, D.H. Lee, M. Salkola and J. Sauls are gratefully acknowledged. This work was supported by US DoE.

¹ – avb@lanl.gov, ² – roman@lanl.gov

- [1] M. Covington, et.al., Phys. Rev. Lett., **79**, 277, (1997).
- [2] M. Fogelstrom et.al., Phys. Rev. Lett., **79**, 281, (1997).
- [3] K. Krishana, et.al., Science, **277**, 83, (1997). See also H. Aubin, K. Behnia, S. Ooi, T. Tamegai; K. Krishana, N. P. Ong, Q. Li, G. Gu, N. Koshizuka Science 1998 April 3; 280 (5360):11 (in Technical Comments).
- [4] R.B. Laughlin, Preprint, cond-mat/9709004.
- [5] A. V. Balatsky, Phys. Rev. Lett, **80**, 1972 (1998). Also cond-mat/9710323.
- [6] R. Movshovich et.al., Phys. Rev. Lett, **80**, 1968 (1998). Also cond-mat/9709061.